# Hamiltonian Mechanics unter besonderer Berücksichtigung der höhereren Lehranstalten

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**Abstract.** The abstract should summarize the contents of the paper using at least 70 and at most 150 words. It will be set in 9-point font size and be inset 1.0 cm from the right and left margins. There will be two blank lines before and after the Abstract. . . .

Keywords: computational geometry, graph theory, Hamilton cycles

## 1 Introduction: The Sublinear Case

With this chapter, the preliminaries are over, and we begin the search for periodic solutions to Hamiltonian systems. All this will be done in the convex case; that is, we shall study the boundary-value problem

$$\dot{x} = JH'(t, x)$$
$$x(0) = x(T)$$

with  $H(t,\cdot)$  a convex function of x, going to  $+\infty$  when  $||x|| \to \infty$ .

### 1.1 Autonomous Systems

In this section, we will consider the case when the Hamiltonian H(x) is autonomous. For the sake of simplicity, we shall also assume that it is  $C^1$ .

We shall first consider the question of nontriviality, within the general framework of  $(A_{\infty}, B_{\infty})$ -subquadratic Hamiltonians. In the second subsection, we shall look into the special case when H is  $(0, b_{\infty})$ -subquadratic, and we shall try to derive additional information.

The General Case: Nontriviality. We assume that H is  $(A_{\infty}, B_{\infty})$ -sub-quadratic at infinity, for some constant symmetric matrices  $A_{\infty}$  and  $B_{\infty}$ , with  $B_{\infty} - A_{\infty}$  positive definite. Set:

$$\gamma := \text{smallest eigenvalue of } B_{\infty} - A_{\infty}$$
(1)

$$\lambda := \text{largest negative eigenvalue of } J \frac{d}{dt} + A_{\infty} .$$
 (2)

Theorem 1 tells us that if  $\lambda + \gamma < 0$ , the boundary-value problem:

$$\dot{x} = JH'(x) 
 x(0) = x(T)$$
(3)

has at least one solution  $\overline{x}$ , which is found by minimizing the dual action functional:

$$\psi(u) = \int_{o}^{T} \left[ \frac{1}{2} \left( \Lambda_{o}^{-1} u, u \right) + N^{*}(-u) \right] dt \tag{4}$$

on the range of  $\Lambda$ , which is a subspace  $R(\Lambda)_L^2$  with finite codimension. Here

$$N(x) := H(x) - \frac{1}{2} (A_{\infty} x, x)$$
 (5)

is a convex function, and

$$N(x) \le \frac{1}{2} \left( \left( B_{\infty} - A_{\infty} \right) x, x \right) + c \quad \forall x . \tag{6}$$

**Proposition 1.** Assume H'(0) = 0 and H(0) = 0. Set:

$$\delta := \liminf_{x \to 0} 2N(x) \|x\|^{-2} . \tag{7}$$

If  $\gamma < -\lambda < \delta$ , the solution  $\overline{u}$  is non-zero:

$$\overline{x}(t) \neq 0 \quad \forall t \ .$$
 (8)

*Proof.* Condition (7) means that, for every  $\delta' > \delta$ , there is some  $\varepsilon > 0$  such that

$$||x|| \le \varepsilon \Rightarrow N(x) \le \frac{\delta'}{2} ||x||^2$$
 (9)

It is an exercise in convex analysis, into which we shall not go, to show that this implies that there is an  $\eta>0$  such that

$$f \|x\| \le \eta \Rightarrow N^*(y) \le \frac{1}{2\delta'} \|y\|^2$$
 (10)

Fig. 1. This is the caption of the figure displaying a white eagle and a white horse on a snow field

Since  $u_1$  is a smooth function, we will have  $||hu_1||_{\infty} \leq \eta$  for h small enough, and inequality (10) will hold, yielding thereby:

$$\psi(hu_1) \le \frac{h^2}{2} \frac{1}{\lambda} \|u_1\|_2^2 + \frac{h^2}{2} \frac{1}{\delta'} \|u_1\|^2 . \tag{11}$$

If we choose  $\delta'$  close enough to  $\delta$ , the quantity  $\left(\frac{1}{\lambda} + \frac{1}{\delta'}\right)$  will be negative, and we end up with

$$\psi(hu_1) < 0 \quad \text{for } h \neq 0 \quad \text{small} .$$
(12)

On the other hand, we check directly that  $\psi(0) = 0$ . This shows that 0 cannot be a minimizer of  $\psi$ , not even a local one. So  $\overline{u} \neq 0$  and  $\overline{u} \neq \Lambda_o^{-1}(0) = 0$ .

Corollary 1. Assume H is  $C^2$  and  $(a_{\infty}, b_{\infty})$ -subquadratic at infinity. Let  $\xi_1, \ldots, \xi_N$  be the equilibria, that is, the solutions of  $H'(\xi) = 0$ . Denote by  $\omega_k$  the smallest eigenvalue of  $H''(\xi_k)$ , and set:

$$\omega := \operatorname{Min} \left\{ \omega_1, \dots, \omega_k \right\} . \tag{13}$$

*If:* 

$$\frac{T}{2\pi}b_{\infty} < -E\left[-\frac{T}{2\pi}a_{\infty}\right] < \frac{T}{2\pi}\omega\tag{14}$$

then minimization of  $\psi$  yields a non-constant T-periodic solution  $\overline{x}$ .

We recall once more that by the integer part  $E[\alpha]$  of  $\alpha \in \mathbb{R}$ , we mean the  $a \in \mathbb{Z}$  such that  $a < \alpha \le a + 1$ . For instance, if we take  $a_{\infty} = 0$ , Corollary 2 tells us that  $\overline{x}$  exists and is non-constant provided that:

$$\frac{T}{2\pi}b_{\infty} < 1 < \frac{T}{2\pi} \tag{15}$$

or

$$T \in \left(\frac{2\pi}{\omega}, \frac{2\pi}{b_{\infty}}\right) . \tag{16}$$

*Proof.* The spectrum of  $\Lambda$  is  $\frac{2\pi}{T}ZZ + a_{\infty}$ . The largest negative eigenvalue  $\lambda$  is given by  $\frac{2\pi}{T}k_o + a_{\infty}$ , where

$$\frac{2\pi}{T}k_o + a_{\infty} < 0 \le \frac{2\pi}{T}(k_o + 1) + a_{\infty} . \tag{17}$$

Hence:

$$k_o = E \left[ -\frac{T}{2\pi} a_{\infty} \right] . {18}$$

The condition  $\gamma < -\lambda < \delta$  now becomes:

$$b_{\infty} - a_{\infty} < -\frac{2\pi}{T} k_o - a_{\infty} < \omega - a_{\infty} \tag{19}$$

which is precisely condition (14).

**Lemma 1.** Assume that H is  $C^2$  on  $\mathbb{R}^{2n}\setminus\{0\}$  and that H''(x) is non-degenerate for any  $x \neq 0$ . Then any local minimizer  $\tilde{x}$  of  $\psi$  has minimal period T.

*Proof.* We know that  $\widetilde{x}$ , or  $\widetilde{x} + \xi$  for some constant  $\xi \in \mathbb{R}^{2n}$ , is a T-periodic solution of the Hamiltonian system:

$$\dot{x} = JH'(x) \ . \tag{20}$$

There is no loss of generality in taking  $\xi = 0$ . So  $\psi(x) \ge \psi(\widetilde{x})$  for all  $\widetilde{x}$  in some neighbourhood of x in  $W^{1,2}(\mathbb{R}/T\mathbb{Z};\mathbb{R}^{2n})$ .

But this index is precisely the index  $i_T(\tilde{x})$  of the *T*-periodic solution  $\tilde{x}$  over the interval (0,T), as defined in Sect. 2.6. So

$$i_T(\widetilde{x}) = 0. (21)$$

Now if  $\widetilde{x}$  has a lower period, T/k say, we would have, by Corollary 31:

$$i_T(\widetilde{x}) = i_{kT/k}(\widetilde{x}) \ge k i_{T/k}(\widetilde{x}) + k - 1 \ge k - 1 \ge 1.$$
(22)

This would contradict (21), and thus cannot happen.

### 2 Literature Review

Maybe

## 3 Methodology

You choose

## 4 Results

Loots of thing can go here

*Notes and Comments.* The results in this section are a refined version of [1]; the minimality result of Proposition 14 was the first of its kind.

To understand the nontriviality conditions, such as the one in formula (16), one may think of a one-parameter family  $x_T$ ,  $T \in (2\pi\omega^{-1}, 2\pi b_{\infty}^{-1})$  of periodic solutions,  $x_T(0) = x_T(T)$ , with  $x_T$  going away to infinity when  $T \to 2\pi\omega^{-1}$ , which is the period of the linearized system at 0.

**Theorem 1 (Ghoussoub-Preiss).** Assume H(t,x) is  $(0,\varepsilon)$ -subquadratic at infinity for all  $\varepsilon > 0$ , and T-periodic in t

$$H(t,\cdot)$$
 is convex  $\forall t$  (23)

$$H(\cdot, x)$$
 is  $T$ -periodic  $\forall x$  (24)

Table 1. This is the example table taken out of  $\textit{The T}_{EXbook}$ , p. 246

Year	World population
8000 B.C.	5,000,000
50 A.D.	200,000,000
1650 A.D.	500,000,000
1945 A.D.	2,300,000,000
1980 A.D.	4,400,000,000

$$H(t,x) \ge n(\|x\|)$$
 with  $n(s)s^{-1} \to \infty$  as  $s \to \infty$  (25)

$$\forall \varepsilon > 0 , \quad \exists c : H(t, x) \le \frac{\varepsilon}{2} ||x||^2 + c .$$
 (26)

Assume also that H is  $C^2$ , and H''(t,x) is positive definite everywhere. Then there is a sequence  $x_k$ ,  $k \in \mathbb{N}$ , of kT-periodic solutions of the system

$$\dot{x} = JH'(t, x) \tag{27}$$

such that, for every  $k \in \mathbb{N}$ , there is some  $p_o \in \mathbb{N}$  with:

$$p \ge p_o \Rightarrow x_{pk} \ne x_k$$
 (28)

Example 1 (External forcing). Consider the system:

$$\dot{x} = JH'(x) + f(t) \tag{29}$$

where the Hamiltonian H is  $(0, b_{\infty})$ -subquadratic, and the forcing term is a distribution on the circle:

$$f = \frac{d}{dt}F + f_o \quad \text{with } F \in L^2\left(\mathbb{R}/T\mathbb{Z}; \mathbb{R}^{2n}\right) ,$$
 (30)

where  $f_o := T^{-1} \int_o^T f(t) dt$ . For instance,

$$f(t) = \sum_{k \in \mathbb{N}} \delta_k \xi , \qquad (31)$$

where  $\delta_k$  is the Dirac mass at t = k and  $\xi \in \mathbb{R}^{2n}$  is a constant, fits the prescription. This means that the system  $\dot{x} = JH'(x)$  is being excited by a series of identical shocks at interval T.

**Definition 1.** Let  $A_{\infty}(t)$  and  $B_{\infty}(t)$  be symmetric operators in  $\mathbb{R}^{2n}$ , depending continuously on  $t \in [0,T]$ , such that  $A_{\infty}(t) \leq B_{\infty}(t)$  for all t.

A Borelian function  $H:[0,T]\times\mathbb{R}^{2n}\to\mathbb{R}$  is called  $(A_{\infty},B_{\infty})$ -subquadratic at infinity if there exists a function N(t,x) such that:

$$H(t,x) = \frac{1}{2} (A_{\infty}(t)x, x) + N(t,x)$$
 (32)

$$\forall t$$
,  $N(t,x)$  is convex with respect to  $x$  (33)

$$N(t,x) \ge n(\|x\|)$$
 with  $n(s)s^{-1} \to +\infty$  as  $s \to +\infty$  (34)

$$\exists c \in \mathbb{R} : H(t,x) \le \frac{1}{2} (B_{\infty}(t)x, x) + c \quad \forall x .$$
 (35)

If  $A_{\infty}(t) = a_{\infty}I$  and  $B_{\infty}(t) = b_{\infty}I$ , with  $a_{\infty} \leq b_{\infty} \in \mathbb{R}$ , we shall say that H is  $(a_{\infty}, b_{\infty})$ -subquadratic at infinity. As an example, the function  $||x||^{\alpha}$ , with  $1 \leq \alpha < 2$ , is  $(0, \varepsilon)$ -subquadratic at infinity for every  $\varepsilon > 0$ . Similarly, the Hamiltonian

$$H(t,x) = \frac{1}{2}k \|k\|^2 + \|x\|^{\alpha}$$
(36)

is  $(k, k + \varepsilon)$ -subquadratic for every  $\varepsilon > 0$ . Note that, if k < 0, it is not convex.

## 5 Summary and Conclusions

What do you want

Notes and Comments. The first results on subharmonics were obtained by Rabinowitz in [5], who showed the existence of infinitely many subharmonics both in the subquadratic and superquadratic case, with suitable growth conditions on H'. Again the duality approach enabled Clarke and Ekeland in [2] to treat the same problem in the convex-subquadratic case, with growth conditions on H only.

Recently, Michalek and Tarantello (see [3] and [4]) have obtained lower bound on the number of subharmonics of period kT, based on symmetry considerations and on pinching estimates, as in Sect. 5.2 of this article.

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